



## **INSTRUCTION 02/2006**

### **Register of Authorised Representatives**

18.November.2008  
[Translation – Version 1]

## Versions Index

### 30.June.2006

Initial Version

### 18.November.2008

Registration with The Portuguese Securities Market Commission (CMVM) on October 30th, 2008 as a MIBEL Derivatives Market Rule as a EU Regulated Market according to Directive 2004/39/EC of the European Parliament and of the Council of 21 April 2004 on markets in financial instruments (MiFID)

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Pursuant to **article 28, no. 4 of the Trading Rulebook** OMIP approves this Instruction which specifies the provisions regarding the register of Authorised Representatives.

### Register Procedures

1. The request for register submitted by the Authorised Representative shall contain:
  - a) The **T03 Model**, made available on the Website duly filled in;
  - b) The *curriculum vitae*;
  - c) A document containing the representation powers (powers of attorney) granted by the Trading Member.
2. Following the reception of the elements above mentioned and if there is no sufficient ground for its refusal, OMIP completes the register of the Authorised Representative.
3. The decision regarding the register is taken and notified to the Trading Member within a period of 5 (five) Trading Days following its receipt.

### Suspension and Cancellation of the Register

4. In case the Authorised Representative ceases the exercise of its functions, the Trading Member shall immediately notify OMIP about that fact and submit a request for registration of another Authorised Representative.
5. OMIP may, at any time, cancel the register of an Authorised Representative when it no longer:
  - a) Meets the required conditions for the exercise of the respective functions or
  - b) Fulfils the fitness and competence requirements necessary for that purpose.
6. In those situations as referred to in the preceding number, the Member shall immediately substitute, by submitting a request for registration of another Authorised Representative, following number 1 of this Instruction.
7. In case a Member terminates its activity, this automatically implies the cancellation of the register with OMIP of the respective Authorised Representative.

### Personal Data Protection

8. Every data obtained in the context of the admission procedure as well as in the course of the activity as Authorised Representative included in the register with OMIP, is subject and processed in accordance with the applicable regulations concerning Personal Data Protection.

### Entry into Effect

9. This Instruction has been registered with CMVM on October 30<sup>th</sup>, 2008 and enters into effect on November 18<sup>th</sup>, 2008.

*The Executive Commission*